

09/751,332

PTO/SB/21 (12/97)

Approved for use through 9/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Application No.

TRANSMITTAL FORM			03/731,332						
(to be used for all correspondence after initial filing) APR 0 9 2001			Filing Date	December 28, 2000					
			First Named Inventor	Darwin A. Engwer					
			Group Art Unit	2631					
			Examiner Name						
Total Number of This Submission 29			Attorney Docket Numbe	3239P069					
ENCLOSURES (check all that apply)									
Fee Transmittal	Form	Assignmen (for an App	t Papers lication)	After Allowance Communication to Group					
Fee Attac	hed	Drawing(s)		Appeal Communication to Board of Appeals and Interferences					
Amendment / Re	esponse	Licensing-r	elated Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Fina Affidavits/	l declaration(s)	Petition Ro and Accom	uting Slip (PTO/SB/69) panying Petition	Proprietary Information					
Extension of Tim	e Request	To Convert Provisional	a Application	Status Letter					
Express Abandonment Request		Power of A Change of	ttorney, Revocation Correspondence Address	Additional Enclosure(s) (please identify below):					
Information Disclosure Statement		Terminal D	isclaimer	cc of Notice to File Missing Parts; PTO-1449 w/cited					
Certified Copy of Priority Document(s)		Small Entity	y Statement	reference; Cross Reference to Related Applications;					
Response to Missing Parts/ Incomplete Application		Request for	r Refund	Declaration and P of A					
Response to Missing Parts under 37 CFR 1.52 or 1.53		Remarks							
	OLONATUR.	OF APPLICAN	IT ATTORNEY OR A	OFNIT					
	SIGNATURE	OF APPLICAN	IT, ATTORNEY, OR A	GENI					
Firm or	George L. Foun	tain, Reg. No.	36,374						
Individual name	BLAKELY, S	OKOLOFF, 7	AYLOR & ZAFM	IAN LLP					
Signature	Signature George J. Formain								
Date	Date April 3, 2001								
	CERTIFI	CATE OF MAIL	ING/TRANSMISSION						
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: April 3, 2001									
Typed or printed nar	me Eric Hoover								
Signature		A		Date April 3, 2001					

TOTAL AMOUNT OF PAYMENT

PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

3239P069

Under the Paperwork Reduction Act of 1995, no p	ersons are required to respond to a colle	ection of information unless it displays a valid OMB control number		
	Complete if Known			
FEE TRANSMITTAL 9 2001 3	Application No.	09/751,332		
for FY 2000 APR 0 9 2001 5	Filing Date	December 28, 2000		
7	First Named Inventor	Darwin A. Engwer		
Patent fees are subject to annual revision.	Examiner Name			
- Amanda	Croun/Art Linit	2621		

Attorney Docket Number

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)								
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEE									
Deposit	Large	Large Entity Small Entity								
Account Number 02-2666	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee	e Description		Fee Paid		
Account Name Blakely, Sokoloff, Taylor & Zafman LLP		130 50	205 227		Surcharge - late	ge - late filing fee or oath ge - late provisional filing fee or				
Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16,1.17, 1.18 and 1.20. Applicant claims small entity status. See 37 CFR 1.27.		cover sheet. 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for reexamination 112 920* 112 920*Requesting publication of SIR prior to								
2. Payment Enclosed:	·	1,840*			Examiner action *Requesting pub	n lication of SIR	•			
Check Credit card Money Other	115	110	215	55	Examiner action Extension for re		first month			
FEE CALCULATION	116	390	216		Extension for re	•				
1. BASIC FILING FEE		890	217		Extension for re	•				
Large Entity Small Entity	117 118	1,390	218		Extension for re	•				
Fee Fee Fee Fee Description Fee Paid	128	1.890	228		Extension for re	•				
Code (\$) Code (\$)	119	310	219		Notice of Appea	•				
101 710 201 355 Utility filing fee	120	310	220	155	Filing a brief in s	support of an	appeal			
106 320 206 160 Design filing fee	121	270	221	135	Request for oral	l hearing				
107 490 207 245 Plant filing fee 108 710 208 355 Reissue filing fee	138	1,510	138	1,510	Petition to institu	ute a public us	e proceeding			
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive	e - unavoidabl	e			
	141	1,240	241	620	Petition to revive	e - unintention	al			
SUBTOTAL (1) (\$)	142	1,240	242	620	Utility issue fee	(or reissue)				
2. EXTRA CLAIM FEES Extra Fee from	143	440	243	220	Design issue fee	e				
<u>Claims</u> <u>below</u> <u>Fee Paid</u>	144	600	244	300	Plant issue fee					
Total Claims	122	130	122	130	Petitions to the	Commissione	r			
Claims = X =	123	50	123	50	Petitions related	l to provisiona	l applications			
Multiple Dependent =	126	180	126	180	Submission of Ir	nformation Dis	sclosure Stmt			
**or number previously paid, if greater, For Reissues, see below Large Entity Small Entity	581	40	581	40	Recording each property (times r			40.00		
Fee Fee Fee Fee Description Code (\$) Code (\$)	146	710	246	355	Filing a submiss (37 CFR 1.129(a		rejection			
103 18 203 9 Claims in excess of 20	149	710	249	355	For each additio	nal invention	to be			
102 80 202 40 Independent claims in excess of 3	179	710	279	255	examined (37 C Request for Con		nation (PCE)			
104 260 204 135 Multiple Dependent claim, if not paid	169	900	169		Request for expe		` '			
109 80 209 40 **Reissue independent claims over original patent					of a design appli		auon			
110 18 210 9 **Reissue claims in excess of 20		fee (spe fee (spe								
and over original patent			• •	 Foo Do	id o:	IDTOTAL (0)	(e)	470.00		
SUBTOTAL (2) (\$) *Reduced by Basic Filting Fee Paid SUBTOTAL (3) (\$) 170.0								170.00		
SUBMITTED BY Complete (if applicable)							ble)			
Name (Print/Type) George L. Fountain		Registration No. (Attorney/Agent) 36,374 Telephone (714)			(714) 557	-3800				
Signature George L. Fountain Date 04/0					04/03/	/01				

170.00

Page 1 of 2

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/751,332

12/28/2000

Darwin A. Engwer

3239P069

08791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN 12400 WILSHIRE BOULEVARD, SEVENTH FLOOR LOS ANGELES, CA 90025

CONFIRMATION NO. 7669

FORMALITIES LETTER

OC000000005752481*

Date Mailed: 02/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/21/2001 TV0111

02 FC:102 03 FC:103

00000009 022666

09751332

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$528.
 - \$288 for 16 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1368.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

130.00

04/11/2001 YPOLITE1 00000041 09751332

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE